

New covering codes of radius R , codimension tR and $tR + \frac{R}{2}$, and saturating sets in projective spaces

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Abstract. The length function $\ell_q(r, R)$ is the smallest length of a q -ary linear code of codimension r and covering radius R . In this work we obtain new constructive upper bounds on $\ell_q(r, R)$ for all $R \geq 4$, $r = tR$, $t \geq 2$, and also for all even $R \geq 2$, $r = tR + \frac{R}{2}$, $t \geq 1$. The new bounds are provided by infinite families of new covering codes with fixed R and increasing codimension. The new bounds improve upon the known ones.

We propose a general regular construction (called “Line+Ovals”) of a minimal ρ -saturating $((\rho + 1)q + 1)$ -set in the projective space $\text{PG}(2\rho + 1, q)$ for all $\rho \geq 0$. Such a set corresponds to an $[Rq + 1, Rq + 1 - 2R, 3]_q R$ locally optimal¹ code of covering radius $R = \rho + 1$. Basing on combinatorial properties of these codes regarding to spherical capsules, we give constructions for code codimension lifting and obtain infinite families of new surface-covering¹ codes with codimension $r = tR$, $t \geq 2$.

In addition, we obtain new 1-saturating sets in the projective plane $\text{PG}(2, q^2)$ and, basing on them, construct infinite code families with fixed even radius $R \geq 2$ and codimension $r = tR + \frac{R}{2}$, $t \geq 1$.

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¹See the definitions in Sect. 1.

1 Introduction

Let \mathbb{F}_q be the Galois field with q elements, $\mathbb{F}_q^* = \mathbb{F}_q \setminus \{0\}$. Let \mathbb{F}_q^n be the n -dimensional vector space over \mathbb{F}_q . Denote by $[n, n-r]_q$ a q -ary linear code of length n and codimension (redundancy) r , that is a subspace of \mathbb{F}_q^n of dimension $n-r$.

Let $d(v, c)$ be the Hamming distance between vectors v and c of \mathbb{F}_q^n . The *sphere of radius R* with center c in \mathbb{F}_q^n is the set $\{v : v \in \mathbb{F}_q^n, d(v, c) \leq R\}$. For $0 \leq \ell \leq R$, a *spherical (R, ℓ) -capsule* with center c in \mathbb{F}_q^n is the set $\{v : v \in \mathbb{F}_q^n, \ell \leq d(v, c) \leq R\}$ [10, Rem. 5], [12, Rem. 2.1], [16, Sect. 2]. An *(R, R) -capsule is the surface of a sphere of radius R* .

Definition 1. A linear $[n, n-r]_q$ code has *covering radius R* and is denoted as an $[n, n-r]_q R$ code if any of the following equivalent properties holds:

(i) The value R is the least integer such that the space \mathbb{F}_q^n is covered by the spheres of radius R centered at the codewords.

(ii) Every column of \mathbb{F}_q^r is equal to a linear combination of at most R columns of a parity check matrix of the code, and R is the smallest value with this property.

An $[n, n-r]_q R$ code of minimum distance d is denoted by $[n, n-r, d]_q R$ code. For an introduction to coverings of Hamming spaces, see [6, 8]. For fixed q, r , and R , the covering quality of an $[n, n-r]_q R$ code is better if its length n is smaller.

Definition 2. [6, 8] *The length function $\ell_q(r, R)$ is the smallest length of a q -ary linear code of codimension r and covering radius R .*

It can be shown, see e.g. [2, 16], that if code length n is considerably larger than R (this is the natural case in covering codes investigations) and if q is large enough, then there is a lower bound of the form $\ell_q(r, R) \gtrsim cq^{(r-R)/R}$, where c is independent of q but it is possible that c depends on r and R .

Let t, s, R^* be integers. Let q' be a prime power. Consider the following cases:

$$(i) r = tR, \text{ arbitrary } q. \quad (ii) R = sR^*, r = tR + s, q = (q')^{R^*}. \quad (iii) r \neq tR, q = (q')^R. \quad (1.1)$$

In [13, 15, 16, 19], for all the cases in (1.1), codes with lengths close (by order) to the bound $\ell_q(r, R) \gtrsim cq^{(r-R)/R}$ are obtained. These lengths are upper bounds on $\ell_q(r, R)$.

The goal of this paper is to improve on the known upper bounds on $\ell_q(r, R)$ in the case (i) of (1.1) for $R \geq 4$ and in the case (ii) of (1.1) for even R with $R^* = 2$.

The following properties of codes are useful for obtaining new bounds.

Definition 3. [14] A linear covering code is called *locally optimal* if one cannot remove any column from its parity check matrix without an increase in covering radius.

Definition 4. [10], [12, Sect. 2], [16, Sect. 2] Let $0 \leq \ell \leq R$. An $[n, n-r]_q R, \ell$ code is called an *(R, ℓ) -object* and is denoted by $[n, n-r]_q R, \ell$ code if any of the following equivalent conditions holds:

(i) The space \mathbb{F}_q^n is covered by the spherical (R, ℓ) -capsules centered at the codewords.

(ii) Every column of the space \mathbb{F}_q^r (including the zero column) is equal to a linear combination with *nonzero coefficients* of at least ℓ and at most R distinct columns of a parity-check matrix of the code.

(iii) Every coset of the code (including the code itself) contains a weight w word of the space \mathbb{F}_q^n such that $\ell \leq w \leq R$.

Definition 5. An $[n, n-r]_q R, R$ code is called *surface-covering code* of radius R .

Note that the space \mathbb{F}_q^n is covered by *the surfaces* of the spheres of radius R centered at the codewords of an $[n, n-r]_q R, R$ surface-covering code.

Codes with radius $R = 2, 3$ and codimension $r = tR$ have been widely investigated, see [11–16, 18–20] and the references therein. At the same time, codes with $R \geq 4$, $r = tR$, have not been extensively studied. The main known results for codes with $R \geq 4$, $r = tR$, are available in [15, 16, 19] and collected in Proposition 1.

Proposition 1. [15], [16, Ths. 6.1,6.2, eqs. 6.1,6.2], [19] *The following constructive upper bounds on the length function hold:*

$$\ell_q(r, R) \leq Rq^{(r-R)/R} + \left\lceil \frac{R}{3} \right\rceil q^{(r-2R)/R} + \delta_q(r, R), \quad R \geq 4, \quad r = tR, \quad t \geq 2, \quad (1.2)$$

where $\delta_q(r, R) = 0$ if $q \geq 4, r = 2R$, or $q = 16, q \geq 23, r = 3R$, or $q \geq 7, q \neq 9, r \geq 5R, r \neq 6R$. Also, $\delta_q(r, R) = (2R \bmod 3) \cdot (q^{(r-3R)/R} + 1)$ if $q \geq 7, q \neq 9, r = 4R, 6R$.

The main known results for codes with even covering radius $R \geq 2$ and codimension $r = tR + \frac{R}{2}$ are available in [13, 15, 16] and collected in Proposition 2.

Proposition 2. [13, Ex. 6, eq. (33)], [15], [16, Sects. 4.4, 7] *Let q' be a prime power. Let the covering radius $R \geq 2$ be even. Let the code codimension be $r = tR + \frac{R}{2}$ with integer t . The following constructive upper bounds on the length function hold:*

$$\ell_q(r, R) \leq \frac{R}{2} \left(3 - \frac{1}{\sqrt{q}} \right) q^{\frac{r-R}{R}} + \frac{R}{2} \left\lceil q^{(r-2R)/R-0.5} \right\rceil, \quad q = (q')^2 \geq 16, \quad t \geq 1; \quad (1.3)$$

$$\ell_q(r, R) \leq R \left(1 + \frac{1}{\sqrt[4]{q}} + \frac{1}{\sqrt{q}} \right) q^{\frac{r-R}{R}} + \frac{R}{2} \left\lceil q^{(r-2R)/R-0.5} \right\rceil, \quad q = (q')^4, \quad t \geq 1; \quad (1.4)$$

$$\ell_q(r, R) \leq R \left(1 + \frac{1}{\sqrt[6]{q}} + \frac{1}{\sqrt[3]{q}} + \frac{1}{\sqrt{q}} \right) q^{(r-R)/R} + R \left\lceil q^{(r-2R)/R-0.5} \right\rceil, \quad q = (q')^6, \quad (1.5)$$

$q' \leq 73$ prime, $t \geq 1$, $t \neq 4, 6$.

Problem 1. *Improve on the known bounds on the length function $\ell_q(r, R)$ collected in*

(i) *Proposition 1 where $R \geq 4$, $r = tR$, $t \geq 2$;*

(ii) *Proposition 2 where $R \geq 2$, $r = tR + \frac{R}{2}$, $t \geq 1$.*

Effective methods to obtain upper bounds on $\ell_q(r, R)$ are connected with *saturating sets in projective spaces*. Let $\text{PG}(N, q)$ be the N -dimensional projective space over the field \mathbb{F}_q ; see [24–26] for an introduction to the projective spaces and [21, 23, 25, 29, 30] for connections between coding theory and Galois geometries.

Definition 6. A point set $S \subseteq \text{PG}(N, q)$ is ρ -*saturating* if any of the following equivalent properties holds:

- (i) For any point A of $\text{PG}(N, q) \setminus S$ there exist $\rho + 1$ points in S generating a subspace of $\text{PG}(N, q)$ containing A , and ρ is the smallest value with this property.
- (ii) Every point $A \in \text{PG}(N, q)$ (in homogeneous coordinates) can be written as a linear combination of at most $\rho + 1$ points of S , and ρ is the smallest value with this property (cf. Definition 1(ii)).

Definition 7. A ρ -saturating set in $\text{PG}(N, q)$ is *minimal* if it does not contain a smaller ρ -saturating set in $\text{PG}(N, q)$.

Saturating sets are considered in [2, 6, 7, 12, 14–19, 21, 23, 27, 29, 30, 33]. In the literature, saturating sets are also called “saturated sets”, “spanning sets”, “dense sets”.

Let $s_q(N, \rho)$ be the *smallest size of a ρ -saturating set* in $\text{PG}(N, q)$.

If a column of an $r \times n$ parity check matrix of an $[n, n - r]_q R$ code is treated as a point (in homogeneous coordinates) of $\text{PG}(r - 1, q)$ then this parity check matrix defines an $(R - 1)$ -saturating n -set in $\text{PG}(r - 1, q)$ [7, 12, 16, 18, 21, 23, 27, 29, 30]. There is a *one-to-one correspondence between $[n, n - r]_q R$ codes and $(R - 1)$ -saturating n -sets in $\text{PG}(r - 1, q)$* . Therefore, $\ell_q(r, R) = s_q(r - 1, R - 1)$. If the $[n, n - r]_q R$ code is locally optimal then the corresponding $(R - 1)$ -saturating n -set is minimal.

The results of Proposition 1 are based on the so-called direct sum [16, Sect. 4.2] of codes with radius $R = 2, 3$ which use the following geometrical constructions:

- “oval plus line” [7, p. 104], [11, Th. 3.1], [12, Th. 5.1]; the construction gives a 1-saturating $(2q + 1)$ -set in $\text{PG}(3, q)$ corresponding to a $[2q + 1, 2q + 1 - 4, 3]_q 2$ code with $r = 4 = 2R$;
- “two ovals plus line” [18, Sect. 4]; the construction gives a 2-saturating $(3q + 1)$ -set in $\text{PG}(5, q)$ that corresponds to a $[3q + 1, 3q + 1 - 6, 3]_q 3$ code with $r = 6 = 2R$.

Problem 2. For all $\rho \geq 3$, obtain a construction of a ρ -saturating $((\rho + 1)q + 1)$ -set in $\text{PG}(2\rho + 1, q)$ that corresponds to an $[Rq + 1, Rq + 1 - 2R]_q R$ code with $R = \rho + 1$; thereby prove that $s_q(2\rho + 1, \rho) \leq (\rho + 1)q + 1$ and $\ell_q(2R, R) \leq Rq + 1$.

Note that for $n < Rq + 1$, no examples of $[n, n - 2R]_q R$ codes seem to be known. Moreover, in [16, Prop. 4.2], it is proved that $\ell_4(4, 2) = s_4(3, 1) = 2 \cdot 4 + 1$.

Problem 3. [16, Sects. 4, 5] Determine whether $\ell_q(2R, R) = Rq + 1$.

The results of Proposition 2 are based on 1-saturating sets in the plane $\text{PG}(2, q^2)$.

Problem 4. *In the projective plane $\text{PG}(2, q)$ with q square, construct new 1-saturating sets with sizes smaller than the known ones.*

The paper is organized as follows. In Sect. 2, we summarize the main results of the paper. In Sect. 3, we propose a construction “Line+Ovals” for ρ -saturating sets in $\text{PG}(2\rho + 1, q)$ and codes of codimension $2R$. This solves Problem 2. In Sect. 4, we give two constructions for code codimension lifting. In Sect. 5, we use the codes of Sect. 3 as starting ones for the constructions of Sect. 4 and obtain new infinite code families with fixed radius $R \geq 4$ and codimension tR , $t \geq 2$. This solves Problem 1(i) for the most part. In Sect. 6, using the recent known results on double blocking sets, we obtain new 1-saturating sets in $\text{PG}(2, q^2)$ that solves in part Problem 4. Then starting from these sets, we obtain new infinite code families with fixed even radii $R \geq 2$ and codimension $tR + \frac{R}{2}$, $t \geq 1$. This solves in part Problem 1(ii).

2 The main results

The main results of this paper are as follows:

- Problem 2 is solved, see Sect. 3 where minimal ρ -saturating $((\rho + 1)q + 1)$ -sets in $\text{PG}(2\rho + 1, q)$ are constructed. The minimality of these sets gives credence that Problem 3 can be solved.

- Problem 1(i) is solved for the most part, see Sects. 4 and 5. New constructive upper bounds based on Theorems 3, 4, 7, 8 are collected in Theorem 1.

Theorem 1. *For the length function $\ell_q(r, R)$ and for the smallest size $s_q(r - 1, R - 1)$ of an $(R - 1)$ -saturating set in $\text{PG}(r - 1, q)$ the following constructive bounds hold:*

$$\ell_q(r, R) = s_q(r - 1, R - 1) \leq Rq^{(r-R)/R} + q^{(r-2R)/R} + \Delta_q(r, R), \quad r = tR,$$

where for $m_1 = \lceil \log_q(R + 1) \rceil + 1$ we have

(i) $\Delta_q(r, R) = 0$ if $t = 2$, $q = 4$ and $q \geq 7$, $R \geq 4$;

(ii) $\Delta_q(r, R) = 0$ if $t = 2$, $q = 5$, $R = 4, 5$;

(iii) $\Delta_q(r, R) = 0$ if $t \geq \lceil \log_q R \rceil + 3$, $q \geq 7$ odd, $R \geq 4$;

(iv) $\Delta_q(r, R) = \sum_{j=2}^t q^{(r-jR)/R}$ if $m_1 + 2 < t < 3m_1 + 2$, $q \geq 8$ even, $R \geq 4$;

(v) $\Delta_q(r, R) = \sum_{j=2}^{m_1+2} q^{(r-jR)/R}$ if $t = m_1 + 2$ and $t \geq 3m_1 + 2$, $q \geq 8$ even, $R \geq 4$.

The new bounds of Theorem 1 are better than the known ones of Proposition 1 where the coefficient for $q^{(r-2R)/R}$ is $\lceil \frac{R}{3} \rceil$ whereas in Theorem 1 it is equal to 1 or 2.

- Problem 4 is solved in part, see Sect. 6. We use the following notation:

$$\phi(q) \text{ is the order of the largest proper subfield of } \mathbb{F}_q; \quad (2.1)$$

$$f_q(r, R) = \begin{cases} 0 & \text{if } r \neq \frac{9R}{2}, \frac{13R}{2} \\ q^{(r-3R)/R-0.5} + q^{(r-4R)/R-0.5} & \text{if } r = \frac{9R}{2}, \frac{13R}{2} \end{cases}. \quad (2.2)$$

By Proposition 9(v),(vi), in $\text{PG}(2, q)$, $q = p^{2h}$, $h \geq 2$, there are 1-saturating n -sets with

$$n = 2\sqrt{q} + 2\frac{\sqrt{q}-1}{\phi(\sqrt{q})-1}, \quad p \geq 3 \text{ prime}; \quad n = 2\sqrt{q} + 2\frac{\sqrt{q}}{p} + 2, \quad p \geq 7 \text{ prime}.$$

These new 1-saturating sets have smaller sizes than the known ones, see Remark 3.

- Problem 1(ii) is solved in part. New bounds based on Theorem 10 are as follows.

Theorem 2. *Let $R \geq 2$ be even. Let p be prime, $q = p^{2\eta}$, $\eta \geq 2$, $r = tR + \frac{R}{2}$, $t \geq 1$. The following constructive upper bounds on the length function hold:*

$$\begin{aligned} \text{(i)} \quad \ell_q(r, R) &\leq R \left(1 + \frac{\sqrt{q}-1}{\sqrt{q}(\phi(\sqrt{q})-1)} \right) q^{\frac{r-R}{R}} + R \left\lfloor q^{(r-2R)/R-0.5} \right\rfloor + \frac{R}{2} f_q(r, R), \quad p \geq 3; \\ \text{(ii)} \quad \ell_q(r, R) &\leq R \left(1 + \frac{1}{p} + \frac{1}{\sqrt{q}} \right) q^{(r-R)/R} + R \left\lfloor q^{(r-2R)/R-0.5} \right\rfloor + \frac{R}{2} f_q(r, R), \quad p \geq 7. \end{aligned}$$

If $\sqrt{q} = p^\eta$ with $\eta \geq 3$ odd, the new bounds of Theorem 2 are better than the known ones of Proposition 2. If e.g. $q = p^6$, $\eta = 3$, then the bound of Theorem 2(ii) is by $Rq^{(r-R)/R-1/3}$ smaller than the known one of (1.5). Also, the new bound holds for all $p \geq 7$ whereas in (1.5) $p \leq 73$. Moreover, if $\eta \geq 5$ odd, the known bounds (1.3) have the main term $\frac{3}{2}Rq^{(r-R)/R}$ whereas for the new bounds it is $Rq^{(r-R)/R}$.

3 Construction ‘‘Line+Ovals’’ for ρ -saturating sets in $\text{PG}(2\rho + 1, q)$ and codes of codimension $2R$

Notation. Throughout the paper we denote by x_i , $i = 0, 1, \dots, N$, homogeneous coordinates of points of $\text{PG}(N, q)$. In the other words, a point $(x_0x_1 \dots x_N) \in \text{PG}(N, q)$. The leftmost nonzero coordinate is equal to 1. In general, by default, $x_i \in \mathbb{F}_q$. If $x_i \in \mathbb{F}_q^*$, we denote it as \hat{x}_i . If $(x_i \dots x_{i+m}) \neq (0 \dots 0)$, we denote it as $\overline{x_i \dots x_{i+m}}$. Also, we write explicit values 0,1 for some coordinates or denote coordinates by the letters a, a_j that are elements of \mathbb{F}_q .

3.1 The construction

Let $\mathbb{F}_q = \{a_1 = 0, a_2, \dots, a_q\}$ be the Galois field of order q . Let $\mathbb{F}_q^* = \mathbb{F}_q \setminus \{0\} = \{a_2, \dots, a_q\}$. Denote $\Sigma_\rho = \text{PG}(2\rho + 1, q)$. Let Σ_u be the $(2u + 1)$ -dimensional projective subspace of Σ_ρ such

that

$$\Sigma_u = \left\{ \underbrace{(x_0 x_1 \dots x_{2u+1})}_{2u+2} \underbrace{(0 \dots 0)}_{2\rho-2u} : x_i \in \mathbb{F}_q \right\} \subseteq \Sigma_\rho, \quad u = 0, 1, \dots, \rho.$$

In Σ_u , let π_u be the plane such that

$$\pi_u = \left\{ \underbrace{(0 \dots 0)}_{2u-1} x_{2u-1} x_{2u} x_{2u+1} \underbrace{(0 \dots 0)}_{2\rho-2u} : x_i \in \mathbb{F}_q \right\} \subset \Sigma_u, \quad u = 1, 2, \dots, \rho.$$

In π_u , let A_u^0 and A_u^∞ be the points of the form

$$A_u^0 = \underbrace{(0 \dots 0)}_{2u-1} 100 \underbrace{(0 \dots 0)}_{2\rho-2u} \in \pi_u, \quad A_u^\infty = \underbrace{(0 \dots 0)}_{2u-1} 0001 \underbrace{(0 \dots 0)}_{2\rho-2u} \in \pi_u, \quad u = 1, 2, \dots, \rho.$$

In π_u , let C_u and C_u^* be the conic and the truncated one, respectively, of the form

$$C_u = C_u^* \cup \{A_u^0, A_u^\infty\}, \quad C_u^* = \left\{ \underbrace{(0 \dots 0)}_{2u-1} 1 a a^2 \underbrace{(0 \dots 0)}_{2\rho-2u} : a \in \mathbb{F}_q^* \right\}, \quad u = 1, 2, \dots, \rho.$$

Let T_u be the nucleus of C_u , if q is even, or the intersection of the tangents to C_u in the points A_u^0 and A_u^∞ , if q is odd, so that $T_u = \underbrace{(0 \dots 0)}_{2u-1} 0010 \underbrace{(0 \dots 0)}_{2\rho-2u} \in \pi_u$, $u = 1, 2, \dots, \rho$.

In Σ_0 , let A_0^0 and A_0^∞ be the points of the form $A_0^0 = \underbrace{(100 \dots 0)}_{2\rho}$, $A_0^\infty = \underbrace{(010 \dots 0)}_{2\rho}$. Also, let L_0 and L_0^* be the line and the truncated one, respectively, such that

$$L_0 = L_0^* \cup \{A_0^0, A_0^\infty\} \subset \Sigma_0, \quad L_0^* = \left\{ \underbrace{(1a0 \dots 0)}_{2\rho} : a \in \mathbb{F}_q^* \right\} \subset \Sigma_0.$$

Note that by Definition 6, a 0-saturating set in $PG(N, q)$ is the whole space.

Construction S. (“Line+Ovals”) Let $\rho \geq 0$. Let $S_\rho = \{P_1, P_2, \dots, P_{(\rho+1)q+1}\}$ be a point $((\rho+1)q+1)$ -subset of $\Sigma_\rho = PG(2\rho+1, q)$. Let P_j be the j -th point of S_ρ . We construct S_ρ as follows:

$$S_0 = \{A_0^0\} \cup L_0^* \cup \{A_0^\infty\} = \{P_1, P_2, \dots, P_{q+1}\} = \Sigma_0 = PG(1, q); \quad (3.1)$$

$$S_\rho = \{A_0^0\} \cup L_0^* \cup \bigcup_{u=1}^{\rho} (C_u^* \cup \{T_u\}) \cup \{A_\rho^\infty\} = \{P_1, P_2, \dots, P_{(\rho+1)q+1}\} \subset \Sigma_\rho \text{ if } \rho \geq 1.$$

$$P_1 = \underbrace{(100 \dots 0)}_{2\rho} = A_0^0; \quad P_j = \underbrace{(1a_j 0 \dots 0)}_{2\rho}, \quad a_j \in \mathbb{F}_q^*, \quad j = 2, 3, \dots, q. \quad (3.2)$$

$$P_{uq+j-1} = \underbrace{(0 \dots 0)}_{2u-1} 1 a_j a_j^2 \underbrace{(0 \dots 0)}_{2\rho-2u}, \quad a_j \in \mathbb{F}_q^*, \quad u = 1, 2, \dots, \rho, \quad j = 2, 3, \dots, q. \quad (3.3)$$

$$P_{(u+1)q} = (\underbrace{0 \dots 0}_{2u-1} 0 1 \underbrace{0 \dots 0}_{2\rho-2u}) = T_u, \quad u = 1, 2, \dots, \rho; \quad P_{(\rho+1)q+1} = A_\rho^\infty. \quad (3.4)$$

Also, the set S_ρ can be represented in the matrix form $\widehat{\mathbf{H}}_\rho$, where every column is a point in homogeneous coordinates. We have

$$S_\rho = \widehat{\mathbf{H}}_\rho \quad (3.5)$$

$$= \begin{bmatrix} 1 & 1 \dots 1 & 0 \dots 0 & 0 & 0 \dots 0 & 0 & \dots & 0 \dots 0 & 0 & 0 \dots 0 & 0 & 0 \\ 0 & a_2 \dots a_q & 1 \dots 1 & 0 & 0 \dots 0 & 0 & \dots & 0 \dots 0 & 0 & 0 \dots 0 & 0 & 0 \\ 0 & 0 \dots 0 & a_2 \dots a_q & 1 & 0 \dots 0 & 0 & \dots & 0 \dots 0 & 0 & 0 \dots 0 & 0 & 0 \\ 0 & 0 \dots 0 & a_2^2 \dots a_q^2 & 0 & 1 \dots 1 & 0 & \dots & 0 \dots 0 & 0 & 0 \dots 0 & 0 & 0 \\ 0 & 0 \dots 0 & 0 \dots 0 & 0 & a_2 \dots a_q & 1 & \dots & 0 \dots 0 & 0 & 0 \dots 0 & 0 & 0 \\ 0 & 0 \dots 0 & 0 \dots 0 & 0 & a_2^2 \dots a_q^2 & 0 & \dots & 0 \dots 0 & 0 & 0 \dots 0 & 0 & 0 \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & 0 \dots 0 & 0 \dots 0 & 0 & 0 \dots 0 & 0 & \dots & 1 \dots 1 & 0 & 0 \dots 0 & 0 & 0 \\ 0 & 0 \dots 0 & 0 \dots 0 & 0 & 0 \dots 0 & 0 & \dots & a_2 \dots a_q & 1 & 0 \dots 0 & 0 & 0 \\ 0 & 0 \dots 0 & 0 \dots 0 & 0 & 0 \dots 0 & 0 & \dots & a_2^2 \dots a_q^2 & 0 & 1 \dots 1 & 0 & 0 \\ 0 & 0 \dots 0 & 0 \dots 0 & 0 & 0 \dots 0 & 0 & \dots & 0 \dots 0 & 0 & a_2 \dots a_q & 1 & 0 \\ 0 & 0 \dots 0 & 0 \dots 0 & 0 & 0 \dots 0 & 0 & \dots & 0 \dots 0 & 0 & a_2^2 \dots a_q^2 & 0 & 1 \\ - & - & - & - & - & - & - & - & - & - & - & - \\ A_0^0 & L_0^* & C_1^* & T_1 & C_2^* & T_2 & \dots & C_{\rho-1}^* & T_{\rho-1} & C_\rho^* & T_\rho & A_\rho^\infty \end{bmatrix}.$$

Remark 1. The sets S_1 and S_2 of Construction S are, respectively, the 1-saturating set in $\text{PG}(3, q)$ of the construction “oval plus line” [7, p. 104], [11, Th. 3.1], [12, Th. 5.1] and the 2-saturating set in $\text{PG}(5, q)$ of the construction “two ovals plus line” [18, Sect. 4].

3.2 Saturation of Construction S

We say that a point $A \in \text{PG}(N, q)$ is ρ -covered by a set $S \subseteq \text{PG}(N, q)$ if A is a linear combination of less than or equal to $\rho + 1$ points of S . A subset $G \subset \text{PG}(N, q)$ is ρ -covered by S if all points of G are ρ -covered by S .

Definition 8. Let S be a ρ -saturating set in $\text{PG}(N, q)$. A point $A \in S$ is ρ -essential if $S \setminus \{A\}$ is no longer a ρ -saturating set. A point $A \in S$ is ρ -essential for a set $\widetilde{\mathcal{M}}_\rho(A) \subset \text{PG}(N, q)$ if all points of $\widetilde{\mathcal{M}}_\rho(A)$ are not ρ -covered by $S \setminus \{A\}$. We denote by $\mathcal{M}_\rho(A)$ a set such that $\widetilde{\mathcal{M}}_\rho(A) \subseteq \mathcal{M}_\rho(A) \subset \text{PG}(N, q)$.

The following proposition and lemma are obvious.

Proposition 3. Let $q \geq 3$. Let $\Sigma_0 = \text{PG}(1, q)$. Let the set $S_0 = \{A_0^0\} \cup L_0^* \cup \{A_0^\infty\} \subset \Sigma_0$ be as in (3.1)–(3.5). Then it holds that

- (i) The $(q+1)$ -set S_0 is a minimal 0-saturating set in Σ_0 .
- (ii) The point A_0^∞ of S_0 is 0-essential for the set $\widetilde{\mathcal{M}}_0(A_0^\infty)$ such that

$$\widetilde{\mathcal{M}}_0(A_0^\infty) = \mathcal{M}_0(A_0^\infty) = \{A_0^\infty\} = \{(01)\}. \quad (3.6)$$

- (iii) The q -set $S_0 \setminus \{A_0^\infty\}$ is 1-saturating in Σ_0 .

Lemma 1. Let $q \geq 4$, $\rho \geq 2$. Then the plane π_u , $u = 1, \dots, \rho$, is 2-covered by C_u^* . Also, the point $A_u^\infty = A_{u+1}^0$, $u = 1, \dots, \rho - 1$, is 2-covered by C_u^* as well as by C_{u+1}^* .

Lemma 2. Let $q = 4$ or $q \geq 7$. Then all points of $\pi_u \setminus \{A_u^0, A_u^\infty\}$ are 1-covered by $C_u^* \cup \{T_u\}$, $u = 1, \dots, \rho$. Also, all points of $\pi_\rho \setminus \{A_\rho^0\}$ are 1-covered by $C_\rho^* \cup \{T_\rho, A_\rho^\infty\}$.

Proof. If q is even, every point of a plane outside of a hyperoval $C_u \cup \{T_u\}$ lies on $(q+2)/2$ its bisecants. If q is odd, every point of a plane outside of a conic C_u lies on at least $(q-1)/2$ its bisecants. At most two of these bisecants will be removed if one removes A_u^0 and A_u^∞ from C_u . Thus, for $q = 4$ and $q \geq 7$, every point of $\pi_u \setminus \{A_u^0, A_u^\infty\}$ lies on at least one bisecant of $C_u^* \cup \{T_u\}$. The same holds for $\pi_\rho \setminus \{A_\rho^0\}$. \square

Proposition 4. Let $q = 4$ or $q \geq 7$. Let $\Sigma_1 = \text{PG}(3, q)$. Let the set $S_1 = \{A_0^0\} \cup L_0^* \cup C_1^* \cup \{T_1, A_1^\infty\} \subset \Sigma_1$ be as in (3.1)–(3.5). Let $\mathcal{M}_0(A_0^\infty)$ be as in (3.6). Then it holds that

- (i) The $(2q+1)$ -set S_1 is a minimal 1-saturating set in Σ_1 .
- (ii) The point A_1^∞ of S_1 is 1-essential for the set $\widetilde{\mathcal{M}}_1(A_1^\infty)$ such that

$$\widetilde{\mathcal{M}}_1(A_1^\infty) = \mathcal{M}_1(A_1^\infty) = \{(x_0 \dots x_3) : (x_0 x_1) \notin \mathcal{M}_0(A_0^\infty), (x_2 x_3) = (0\widehat{x}_3)\}. \quad (3.7)$$

- (iii) The $2q$ -set $S_1 \setminus \{A_1^\infty\}$ is 2-saturating in Σ_1 .

Proof. (i) By Proposition 3(iii) and Lemma 2, Σ_0 and π_1 are 1-covered by $\{A_0^0\} \cup L_0^* \cup C_1^* \cup \{T_1, A_1^\infty\}$. Hence, we should consider points of the form

$$B = (\widehat{x}_0 x_1 \overline{x_2 x_3}) = (1x_1 \overline{x_2 x_3}) \in \Sigma_1 \setminus (\Sigma_0 \cup \pi_1). \quad (3.8)$$

We show that B in (3.8) is a linear combination of at most 2 points of S_1 .

- 1) Let $(x_0 x_1) \in \mathcal{M}_0(A_0^\infty)$. By (3.8), we have no such points B .
- 2) Let $(x_0 x_1) \notin \mathcal{M}_0(A_0^\infty)$. By the hypothesis, $(x_0 x_1 00)$ is 0-covered by $S_0 \setminus \{A_0^\infty\}$, i.e. $(x_0 x_1 00) = (1x_1 00) \in \{A_0^0\} \cup L_0^*$. For B of (3.8), we have

$$B = (x_0 x_1 0\widehat{x}_3) = (x_0 x_1 00) + \widehat{x}_3(0001) = (x_0 x_1 00) + \widehat{x}_3 A_1^\infty; \quad (3.9)$$

$$B = (x_0 x_1 \widehat{x}_2 0) = (x_0 x_1 00) + \widehat{x}_2(0010) = (x_0 x_1 00) + \widehat{x}_2 T_1;$$

$$B = (x_0 x_1 \widehat{x}_2 \widehat{x}_3) = (x_0 z 00) + \frac{\widehat{x}_2^2}{\widehat{x}_3}(01yy^2), \quad z = x_1 - \frac{\widehat{x}_2^2}{\widehat{x}_3}, \quad y = \frac{\widehat{x}_3}{\widehat{x}_2}.$$

Note that $(x_0z00) = (1z00)$ is 0-covered by $S_0 \setminus \{A_0^\infty\}$ for any z .

From (3.9), we see that all points of S_1 are 1-essential.

(ii) The assertion follows from (3.9).

(iii) We have, cf. (3.9), $(1x_10\widehat{x}_3) = (1z00) + (010\widehat{x}_3)$, where $z = x_1 - 1$ and $(010\widehat{x}_3) \in \pi_1 \setminus \{A_1^0, A_1^\infty\}$ is 1-covered by $C_1^* \cup \{T_1\}$, see Lemma 2. \square

Proposition 5. *Let $q = 4$ or $q \geq 7$. Let $\Sigma_2 = \text{PG}(5, q)$. Let the set $S_2 = \{A_0^0\} \cup L_0^* \cup C_1^* \cup \{T_1\} \cup C_2^* \cup \{T_2, A_2^\infty\} \subset \Sigma_2$ be as in (3.1)–(3.5). Let $\mathcal{M}_1(A_1^\infty)$ be as in (3.7). Then it holds that*

(i) *The $(3q + 1)$ -set S_2 is a minimal 2-saturating set in Σ_2 .*

(ii) *The point A_2^∞ of S_2 is 2-essential for the set $\widetilde{\mathcal{M}}_2(A_2^\infty)$ such that*

$$\widetilde{\mathcal{M}}_2(A_2^\infty) \subset \mathcal{M}_2(A_2^\infty) = \{(x_0 \dots x_5) : (x_0 \dots x_3) \notin \mathcal{M}_1(A_1^\infty), (x_4x_5) = (0\widehat{x}_5)\}. \quad (3.10)$$

(iii) *The $3q$ -set $S_2 \setminus \{A_2^\infty\}$ is 3-saturating in Σ_2 .*

Proof. (i) By Propositions 3 and 4 and Lemmas 1 and 2, it holds that Σ_0 is 1-covered by $\{A_0^0\} \cup L_0^*$; π_1 and π_2 are 2-covered by C_1^* and C_2^* , respectively; $\pi_2 \setminus \{A_2^0\}$ is 1-covered by $C_2^* \cup \{T_2, A_2^\infty\}$; Σ_1 is 2-covered by $S_1 \setminus \{A_1^\infty\}$. Recall that $\Sigma_0 \cup \pi_1 \subset \Sigma_1$. So, we should consider points of the form

$$B = (\overline{x_0x_1x_2x_3x_4x_5}) \in \Sigma_2 \setminus (\Sigma_1 \cup \pi_2). \quad (3.11)$$

We show that B in (3.11) is a linear combination of at most 3 points of S_2 .

1) Let $(x_0 \dots x_3) \in \mathcal{M}_1(A_1^\infty)$. By the hypothesis and by (3.7), (3.11), we have

$$(x_0x_1) \notin \mathcal{M}_0(A_0^\infty), B = (x_0x_10\widehat{x}_3\overline{x_4x_5}) = (x_0x_10000) + (000\widehat{x}_3\overline{x_4x_5}),$$

where (x_0x_10000) is 0-covered by $S_0 \setminus \{A_0^\infty\}$ and $(000\widehat{x}_3\overline{x_4x_5}) \in \pi_2 \setminus \{A_2^0, A_2^\infty\}$ is 1-covered by $C_2^* \cup \{T_2\}$, see Lemma 2.

2) Let $(x_0 \dots x_3) \notin \mathcal{M}_1(A_1^\infty)$.

By the hypothesis, $(x_0 \dots x_300)$ is 1-covered by $S_1 \setminus \{A_1^\infty\}$. Also,

$$B = (x_0 \dots x_30\widehat{x}_5) = (x_0 \dots x_300) + \widehat{x}_5(000001) = (x_0 \dots x_300) + \widehat{x}_5A_2^\infty; \quad (3.12)$$

$$B = (x_0 \dots x_3\widehat{x}_40) = (x_0 \dots x_300) + \widehat{x}_4(000010) = (x_0 \dots x_300) + \widehat{x}_4T_2; \quad (3.13)$$

$$B = (x_0 \dots x_3\widehat{x}_4\widehat{x}_5) = (x_0x_1x_2z00) + \frac{\widehat{x}_4^2}{\widehat{x}_5}(0001yy^2), \quad z = x_3 - \frac{\widehat{x}_4}{\widehat{x}_5}, \quad y = \frac{\widehat{x}_5}{\widehat{x}_4}. \quad (3.14)$$

In (3.12), (3.13), B is a linear combination of at most $(1 + 1) + 1 = 3$ points. If $(x_0x_1x_2z) \notin \mathcal{M}_1(A_1^\infty)$, then the representation (3.14) is the needed linear combination. If $(x_0x_1x_2z) \in \mathcal{M}_1(A_1^\infty)$ whereas $(x_0 \dots x_3) \notin \mathcal{M}_1(A_1^\infty)$, then the only possible case is $(x_0x_1) \notin \mathcal{M}_0(A_0^\infty)$ with $(x_2x_3) = (00)$, see (3.7). In this case,

$$B = (x_0x_100\widehat{x}_4\widehat{x}_5) = (1x_100\widehat{x}_4\widehat{x}_5) = (1x_10000) + (0000\widehat{x}_4\widehat{x}_5), \quad (3.15)$$

where $(1x_10000)$ is 0-covered by $\{A_0^0\} \cup L_0^*$ and $(0000\widehat{x}_4\widehat{x}_5) \in \pi_2 \setminus \{A_2^0, A_2^\infty\}$ is 1-covered by $C_2^* \cup \{T_2\}$, see Lemma 2. Thus, B in (3.15) is a linear combination of at most $(0+1) + (1+1) = 3$ points.

From (3.12)–(3.15) we see that all points of $S_2 \setminus S_1$ are 2-essential. Also, we take into account that S_1 is a *minimal* 1-saturating set.

(ii) The assertion follows from (3.12). For some (but not for all) points in (3.12) we could avoid use of A_2^∞ ; this explains the sign “ \subset ” in (3.10). Let, for example, $B = (001\widehat{x}_30\widehat{x}_5) \notin \mathcal{M}_1(A_1^\infty)$. Then $B = (001000) + \widehat{x}_3 \left(00010\frac{\widehat{x}_5}{\widehat{x}_3}\right)$, where $(001000) = T_1$ and $\left(00010\frac{\widehat{x}_5}{\widehat{x}_3}\right) \in \pi_2 \setminus \{A_2^0, A_2^\infty\}$ is 1-covered by $C_2^* \cup \{T_2\}$. But, if $B = (00100\widehat{x}_5) \notin \mathcal{M}_1(A_1^\infty)$, we are not able to avoid A_2^∞ .

(iii) We have, cf. (3.12), $B = (x_0 \dots x_3 0\widehat{x}_5) = (x_0 x_1 x_2 z 00) + (00010\widehat{x}_5)$, where $z = x_3 - 1$ and $(00010\widehat{x}_5) \in \pi_2 \setminus \{A_2^0, A_2^\infty\}$ is 1-covered by $C_2^* \cup \{T_2\}$, see Lemma 2. This representation of B is the needed linear combination of at most $(1+1) + (1+1) = 4$ columns if $(x_0 x_1 x_2 z) \notin \mathcal{M}_1(A_1^\infty)$ whence $(x_0 x_1 x_2 z 00)$ is 1-covered by $S_1 \setminus \{A_1^\infty\}$.

But if $(x_0 x_1 x_2 z) \in \mathcal{M}_1(A_1^\infty)$, then by (3.7), $(x_0 x_1) \notin \mathcal{M}_0(A_0^\infty)$ and we have, similarly to (3.15), $B = (1x_1000\widehat{x}_5) = (1x_10000) + \widehat{x}_5(000001)$, where $(1x_10000)$ is 0-covered by $\{A_0^0\} \cup L_0^*$ and $(000001) = A_2^\infty \in \pi_2$ is 2-covered by C_2^* , see Lemma 1. \square

Theorem 3. *Let $q = 4$ or $q \geq 7$. Let $\Upsilon \geq 1$. Let $\Sigma_\rho = \text{PG}(2\rho + 1, q)$. Let S_ρ be a point $((\rho + 1)q + 1)$ -subset of Σ_ρ as in Construction S of (3.1)–(3.5). Then it holds that*

- (i) *The $((\rho + 1)q + 1)$ -set S_ρ is a minimal ρ -saturating set in Σ_ρ , $\rho = 0, 1, \dots, \Upsilon$.*
- (ii) *The point A_ρ^∞ of S_ρ is ρ -essential for the set $\widetilde{\mathcal{M}}_\rho(A_\rho^\infty)$ such that*

$$\begin{aligned} \widetilde{\mathcal{M}}_0(A_0^\infty) &= \mathcal{M}_0(A_0^\infty) = \{(01)\}, \\ \widetilde{\mathcal{M}}_1(A_1^\infty) &= \mathcal{M}_1(A_1^\infty) = \{(x_0 \dots x_3) : (x_0 x_1) \notin \mathcal{M}_0(A_0^\infty), (x_2 x_3) = (0\widehat{x}_3)\}, \\ \widetilde{\mathcal{M}}_\rho(A_\rho^\infty) &\subset \mathcal{M}_\rho(A_\rho^\infty) = \{(x_0 \dots x_{2\rho+1}) : (x_0 \dots x_{2\rho-1}) \notin \mathcal{M}_{\rho-1}(A_{\rho-1}^\infty), \\ &\quad (x_{2\rho} x_{2\rho+1}) = (0\widehat{x}_{2\rho+1})\}, \quad \rho = 2, 3, \dots, \Upsilon. \end{aligned} \tag{3.16}$$

- (iii) *The $(\rho + 1)q$ -set $S_\rho \setminus \{A_\rho^\infty\}$ is $(\rho + 1)$ -saturating in Σ_ρ , $\rho = 0, 1, \dots, \Upsilon$.*

Proof. We prove by induction on Υ .

For $\Upsilon = 3$ the theorem is proved in Propositions 3, 4, 5.

Assumption: let the assertions (i)–(iii) hold for some $\Upsilon \geq 3$.

We show that under Assumption, the assertions hold for $\Gamma = \Upsilon + 1$.

(i) By Propositions 3, 4, and 5, Lemmas 2 and 1, and Assumption, we have the following: Σ_0 is 1-covered by $\{A_0^0\} \cup L_0^*$; $\pi_1 \setminus \{A_1^\infty\}$, $\pi_u \setminus \{A_u^0, A_u^\infty\}$, $u = 2, 3, \dots, \Gamma$, are 1-covered by $\{A_0^0\} \cup L_0^* \cup \bigcup_{u=1}^{\Gamma} (C_u^* \cup \{T_u\})$; $\pi_\Gamma \setminus \{A_\Gamma^0\}$ is 1-covered by $C_\Gamma^* \cup \{T_\Gamma, A_\Gamma^\infty\}$; $\pi_1, \pi_2, \dots, \pi_\Gamma$ are 2-covered by $C_1^*, C_2^*, \dots, C_\Gamma^*$, respectively; Σ_Γ is Γ -covered by $S_\Gamma \setminus \{A_\Gamma^\infty\}$. Recall that $\Sigma_0 \cup \bigcup_{u=1}^{\Upsilon} \pi_u \subset \Sigma_\Gamma$. So,

we should consider points of the form

$$B = (\overline{x_0 \dots x_{2\Gamma-2} x_{2\Gamma-1} x_{2\Gamma} x_{2\Gamma+1}}) \in \Sigma_\Gamma \setminus (\Sigma_\Gamma \cup \pi_\Gamma). \quad (3.17)$$

We show that B in (3.17) is a linear combination of at most $\Gamma + 1$ points of S_Γ .

1) Let $(x_0 \dots x_{2\Gamma-1}) \in \mathcal{M}_\Gamma(A_\Gamma^\infty)$.

By the hypothesis and by (3.16), $(x_0 \dots x_{2\Gamma-1}) \notin \mathcal{M}_{\Gamma-1}(A_{\Gamma-1}^\infty)$. Therefore, $(x_0 \dots x_{2\Gamma-1} 0000)$ is $(\Upsilon - 1)$ -covered by $S_{\Gamma-1} \setminus \{A_{\Gamma-1}^\infty\}$. Now by (3.17), we have

$$B = (x_0 \dots x_{2\Gamma-1} 0 \widehat{x_{2\Gamma-1}} \overline{x_{2\Gamma} x_{2\Gamma+1}}) = (x_0 \dots x_{2\Gamma-1} 0000) + (0 \dots 0 \widehat{x_{2\Gamma-1}} \overline{x_{2\Gamma} x_{2\Gamma+1}}), \quad (3.18)$$

where $(0 \dots 0 \widehat{x_{2\Gamma-1}} \overline{x_{2\Gamma} x_{2\Gamma+1}}) \in \pi_\Gamma \setminus \{A_\Gamma^0, A_\Gamma^\infty\}$ is 1-covered by C_Γ^* , see Lemma 2. So, B in (3.18) is a linear combination of at most $(\Upsilon - 1 + 1) + (1 + 1) = \Gamma + 1$ points.

2) Let $(x_0 \dots x_{2\Gamma-1}) \notin \mathcal{M}_\Gamma(A_\Gamma^\infty)$.

By the hypothesis, $(x_0 \dots x_{2\Gamma-1} 00)$ is Υ -covered by $S_\Gamma \setminus \{A_\Gamma^\infty\}$. We can write

$$B = (x_0 \dots x_{2\Gamma-1} 0 \widehat{x_{2\Gamma+1}}) = (x_0 \dots x_{2\Gamma-1} 00) + \widehat{x_{2\Gamma+1}} A_\Gamma^\infty; \quad (3.19)$$

$$B = (x_0 \dots x_{2\Gamma-1} \widehat{x_{2\Gamma}} 0) = (x_0 \dots x_{2\Gamma-1} 00) + \widehat{x_{2\Gamma}} T_\Gamma; \quad (3.20)$$

$$B = (x_0 \dots x_{2\Gamma-1} \widehat{x_{2\Gamma}} \widehat{x_{2\Gamma+1}}) = (x_0 \dots x_{2\Gamma-2} z 00) + \frac{\widehat{x_{2\Gamma}}^2}{\widehat{x_{2\Gamma+1}}} (0 \dots 0 1 y^2), \quad (3.21)$$

$$z = x_{2\Gamma-1} - \frac{\widehat{x_{2\Gamma}}^2}{\widehat{x_{2\Gamma+1}}}, \quad y = \frac{\widehat{x_{2\Gamma+1}}}{\widehat{x_{2\Gamma}}}.$$

In (3.19), (3.20), B is a linear combination of at most $(\Upsilon + 1) + 1 = \Gamma + 1$ points. If

$(x_0 \dots x_{2\Gamma-2} z) \notin \mathcal{M}_\Gamma(A_\Gamma^\infty)$, then the representation (3.21) is the needed linear combination. If $(x_0 \dots x_{2\Gamma-2} z) \in \mathcal{M}_\Gamma(A_\Gamma^\infty)$ while $(x_0 \dots x_{2\Gamma-1}) \notin \mathcal{M}_\Gamma(A_\Gamma^\infty)$, then the only possibility is $(x_0 \dots x_{2\Gamma-1}) \notin \mathcal{M}_{\Gamma-1}(A_{\Gamma-1}^\infty)$ with $(x_{2\Gamma-2} x_{2\Gamma-1}) = (00)$, see (3.16). In this case,

$$B = (x_0 \dots x_{2\Gamma-1} 00 \widehat{x_{2\Gamma}} \widehat{x_{2\Gamma+1}}) = (x_0 \dots x_{2\Gamma-1} 0000) + (0 \dots 0 \widehat{x_{2\Gamma}} \widehat{x_{2\Gamma+1}}), \quad (3.22)$$

where $(x_0 \dots x_{2\Gamma-1} 0000)$ is $(\Upsilon - 1)$ -covered by $S_{\Gamma-1} \setminus \{A_{\Gamma-1}^\infty\}$ and

$(0 \dots 0 \widehat{x_{2\Gamma}} \widehat{x_{2\Gamma+1}}) \in \pi_\Gamma \setminus \{A_\Gamma^0, A_\Gamma^\infty\}$ is 1-covered by $C_\Gamma^* \cup \{T_\Gamma\}$, see Lemma 2. Thus, B in (3.22) is a linear combination of at most $(\Upsilon - 1 + 1) + (1 + 1) = \Gamma + 1$ points.

From (3.18)–(3.22) we see that all the points of $S_\Gamma \setminus S_\Upsilon$ are Γ -essential. Also, we take into account that S_Υ is a *minimal* Υ -saturating set.

(ii) The assertion (3.16) follows from (3.19). For some (but not for all) points in (3.19) we could avoid use of A_Γ^∞ . This explains the sign “ \subset ” in (3.16).

(iii) We have, cf. (3.19), $B = (x_0 \dots x_{2\Gamma-1} 0 \widehat{x_{2\Gamma+1}}) = (x_0 \dots x_{2\Gamma-2} z 00) + (0 \dots 0 10 \widehat{x_{2\Gamma+1}})$, where $z = x_{2\Gamma-1} - 1$ and $(0 \dots 0 10 \widehat{x_{2\Gamma+1}}) \in \pi_\Gamma \setminus \{A_\Gamma^0, A_\Gamma^\infty\}$ is 1-covered by C_Γ^* , see Lemma 2. This representation of B is the needed linear combination of at most $(\Upsilon + 1) + (1 + 1) = \Gamma + 2$ points if $(x_0 \dots x_{2\Gamma-2} z) \notin \mathcal{M}_\Gamma(A_\Gamma^\infty)$ whence $(x_0 \dots x_{2\Gamma-2} z 00)$ is Υ -covered by $S_\Gamma \setminus A_\Gamma^\infty$.

But if $(x_0 \dots x_{2\Upsilon-2}z) \in \mathcal{M}_\Upsilon(A_\Upsilon^\infty)$, then by (3.16), $(x_0 \dots x_{2\Upsilon-1}0000) \notin \mathcal{M}_{\Upsilon-1}(A_{\Upsilon-1}^\infty)$, and we have, cf. (3.22), $(x_0 \dots x_{2\Upsilon-1}000\widehat{x}_{2\Upsilon+1}) = (x_0 \dots x_{2\Upsilon-1}0000) + \widehat{x}_{2\Upsilon+1}(0 \dots 01)$, where $(x_0 \dots x_{2\Upsilon-1}0000)$ is $(\Upsilon-1)$ -covered by $S_{\Upsilon-1} \setminus \{A_{\Upsilon-1}^\infty\}$ and $(0 \dots 01) = A_\Upsilon^\infty \in \pi_\Upsilon$ is 2-covered by C_Υ^* , see Lemma 1. \square

By computer search for $q = 5$ we have proved the following proposition.

Proposition 6. *Let $q = 5$. Let $0 \leq \rho \leq 4$. Let $\Sigma_\rho = \text{PG}(2\rho + 1, 5)$. Let the $(5\rho + 1)$ -set $S_\rho \subset \Sigma_\rho$ be as in (3.1)–(3.5). Then S_ρ is a minimal ρ -saturating set in Σ_ρ .*

3.3 Codes of covering radius R and codimension $2R$

In the coding theory language, the results of this section give the following theorem.

Theorem 4. *Let \widehat{V}_ρ be the code such that the columns of its parity check matrix are the points (in homogeneous coordinates) of the ρ -saturating $((\rho + 1)q + 1)$ -set S_ρ of Construction S by (3.1)–(3.5).*

(i) *Let $q = 4$ or $q \geq 7$. Then for all $R \geq 1$, the code \widehat{V}_ρ is an $[Rq + 1, Rq + 1 - 2R, 3]_q R$ locally optimal code of covering radius $R = \rho + 1$.*

(ii) *Let $q = 5$. Then for $1 \leq R \leq 5$, the code \widehat{V}_ρ is a $[5R + 1, 5R + 1 - 2R, 3]_5 R$ locally optimal code of covering radius $R = \rho + 1$.*

Proof. We use Theorem 3 and Proposition 6. The code \widehat{V}_ρ is locally optimal as the corresponding ρ -saturating set S_ρ is minimal. Distance $d = 3$ is due to L_0^* . \square

Conjecture 1. *Let $q = 5$. Let \widehat{V}_ρ be as in Theorem 4. Then for all $R \geq 1$, the code \widehat{V}_ρ is a $[5R + 1, 5R + 1 - 2R, 3]_5 R$ locally optimal code with radius $R = \rho + 1$.*

4 The q^m -concatenating constructions for code codimension lifting

The q^m -concatenating constructions are proposed in [10] and are developed in [11–14, 16, 19, 20], see also [6], [8, Sec. 5.4]. By using a starting code as a “seed”, a q^m -concatenating construction yields an infinite family of new codes with a fixed covering radius, increasing codimension, and with almost the same covering density.

We give versions of the q^m -concatenating constructions convenient for our goals. Several other versions of such constructions can be found in [10–14, 16, 19, 20].

Construction QM₁. Let columns \mathbf{h}_j belong to $\mathbb{F}_q^{r_0}$ and let $\mathbf{H}_0 = [\mathbf{h}_1 \mathbf{h}_2 \dots \mathbf{h}_{n_0}]$ be a parity check matrix of an $[n_0, n_0 - r_0]_q R, R$ starting surface-covering code V_0 with $R \geq 2$. Let $m \geq 1$ be an integer such that $q^m \geq n_0 - 1$. To each column \mathbf{h}_j we associate an element $\beta_j \in \mathbb{F}_{q^m} \cup \{*\}$ so

that $\beta_i \neq \beta_j$ if $i \neq j$. Let a new code V be the $[n, n - (r_0 + Rm)]_q R_V, \ell_V$ code with $n = q^m n_0$ and parity check matrix \mathbf{H}_V of the form

$$\mathbf{H}_V = [\mathbf{B}_1 \ \mathbf{B}_2 \ \dots \ \mathbf{B}_{n_0}], \quad (4.1)$$

$$\mathbf{B}_j = \begin{cases} \begin{bmatrix} \mathbf{h}_j & \mathbf{h}_j & \dots & \mathbf{h}_j \\ \xi_1 & \xi_2 & \dots & \xi_{q^m} \\ \beta_j \xi_1 & \beta_j \xi_2 & \dots & \beta_j \xi_{q^m} \\ \beta_j^2 \xi_1 & \beta_j^2 \xi_2 & \dots & \beta_j^2 \xi_{q^m} \\ \vdots & \vdots & \ddots & \vdots \\ \beta_j^{R-1} \xi_1 & \beta_j^{R-1} \xi_2 & \dots & \beta_j^{R-1} \xi_{q^m} \end{bmatrix} & \text{if } \beta_j \in \mathbb{F}_{q^m}, \ \mathbf{B}_j = \begin{bmatrix} \mathbf{h}_j & \mathbf{h}_j & \dots & \mathbf{h}_j \\ 0 & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 0 \\ \xi_1 & \xi_2 & \dots & \xi_{q^m} \end{bmatrix} & \text{if } \beta_j = *, \end{cases} \quad (4.2)$$

where \mathbf{B}_j is an $(r_0 + Rm) \times q^m$ matrix, 0 is the zero element of \mathbb{F}_{q^m} , ξ_u is an element of \mathbb{F}_{q^m} , $\{\xi_1, \xi_2, \dots, \xi_{q^m}\} = \mathbb{F}_{q^m}$. An element of \mathbb{F}_{q^m} written in \mathbf{B}_j denotes an m -dimensional q -ary column vector that is a q -ary representation of this element.

We denote $\mathbf{b}_j(\xi_u) = (\mathbf{h}_j, \xi_u, \beta_j \xi_u, \beta_j^2 \xi_u, \dots, \beta_j^{R-1} \xi_u)$ the u -th column of \mathbf{B}_j with $\beta_j \in \mathbb{F}_{q^m}$. If $\beta_j = *$, we have $\mathbf{b}_j(\xi_u) = (\mathbf{h}_j, 0, \dots, 0, \xi_u)$.

Theorem 5. *In Construction QM₁, the new code V with the parity check matrix (4.1), (4.2) is an $[n, n - (r_0 + Rm), 3]_q R, R$ surface-covering code with radius R and length $n = q^m n_0$. If the starting code V_0 is locally optimal, then V is locally optimal too.*

Proof. The minimum distance d is equal to 3 since for any pair of columns $\mathbf{b}_j(\xi_{u_1}), \mathbf{b}_j(\xi_{u_2})$ of \mathbf{B}_j , a 3-rd one can be found such that the column triple corresponds to a codeword of weight 3. Take $a, b, c \in \mathbb{F}_q^*$ with $a + b + c = 0$. Put $\xi_{u_3} = (-a\xi_{u_1} - b\xi_{u_2})/c$. Let $\mathbf{0}$ be the zero $(r_0 + Rm)$ -positional column. Then for all j we have

$$a\mathbf{b}_j(\xi_{u_1}) + b\mathbf{b}_j(\xi_{u_2}) + c\mathbf{b}_j(\xi_{u_3}) = \mathbf{0}. \quad (4.3)$$

The length of the code V directly follows from the construction.

We show that covering radius R_V of V is equal to R .

Consider an arbitrary column $\mathbf{t} = (\mathbf{f}\mathbf{s}) \in \mathbb{F}_q^{r_0 + Rm}$ with $\mathbf{f} \in \mathbb{F}_q^{r_0}$, $\mathbf{s} \in \mathbb{F}_q^{Rm}$, $\mathbf{s} = (s_1, s_2, \dots, s_{Rm})$, $s_i \in \mathbb{F}_q$. We partition \mathbf{s} by m -vectors so that $\mathbf{s} = (S_0, S_1, \dots, S_{R-1})$, $S_v = (s_{vm+1}, s_{vm+2}, \dots, s_{vm+m})$, $v = 0, 1, \dots, R-1$. We treat S_v as an element of \mathbb{F}_{q^m} .

Since V_0 is an $[n_0, n_0 - r_0]_q R, R$ code, there exists a linear combination of the form

$$\mathbf{f} = \sum_{k=1}^R c_k \mathbf{h}_{j_k}, \quad c_k \in \mathbb{F}_q^* \text{ for all } k, \quad (4.4)$$

see Definition 4. Now we can represent \mathbf{t} as a linear combination (with nonzero coefficients) of R distinct columns of \mathbf{H}_V . We have, see (4.2),

$$\mathbf{t} = \sum_{k=1}^R c_k \mathbf{b}_{j_k}(x_k), \quad c_k \in \mathbb{F}_q^* \text{ and } x_k \in \mathbb{F}_{q^m} \text{ for all } k, \quad (4.5)$$

where values of x_k are obtained from the linear system with nonzero determinant. If for j_k in (4.4) we have $\beta_{j_k} \in \mathbb{F}_{q^m}$ for all k , then the system has the form

$$\sum_{k=1}^R c_k \beta_{j_k}^v x_k = S_v, \quad v = 0, 1, \dots, R-1. \quad (4.6)$$

We put $0^0 = 1$. If in (4.4) we have, for example, $\beta_{j_R} = *$, then the system is as follows:

$$\sum_{k=1}^{R-1} c_k \beta_{j_k}^v x_k = S_v, \quad v = 0, 1, \dots, R-2; \quad \sum_{k=1}^{R-1} c_k \beta_{j_k}^{R-1} x_k + c_R x_R = S_{R-1}. \quad (4.7)$$

If V_0 is a locally optimal code, then every column \mathbf{h}_j of \mathbf{H}_0 takes part in a representation of the form (4.4). If we remove $\mathbf{b}_{j_k}(\xi_u)$ from \mathbf{B}_{j_k} then there is $(s_1, s_2, \dots, s_{Rm})$ such that the system (4.6) or (4.7) gives $x_k = \xi_u$; for some \mathbf{t} the representation (4.5) becomes impossible. So, all columns of \mathbf{H}_V are essential and V is locally optimal. \square

Construction QM₂. Let columns \mathbf{h}_j belong to $\mathbb{F}_q^{r_0}$ and let $\mathbf{H}_0 = [\mathbf{h}_1 \mathbf{h}_2 \dots \mathbf{h}_{n_0}]$ be a parity check matrix of an $[n_0, n_0 - r_0]_q R, \ell_0$ starting code V_0 with $\ell_0 = R - 1$, $R \geq 2$. Let $m \geq 1$ be an integer such that $q^m \geq n_0$. Let $\theta_{m,q} = \frac{q^{m+1}-1}{q-1}$. To each column \mathbf{h}_j we associate an element $\beta_j \in \mathbb{F}_{q^m}$ so that $\beta_i \neq \beta_j$ if $i \neq j$. Let a new code V be the $[n, n - (r_0 + Rm)]_q R_V, \ell_V$ code with $n = q^m n_0 + \theta_{m,q}$ and parity check matrix \mathbf{H}_V of the form

$$\mathbf{H}_V = [\mathbf{C} \mathbf{B}_1 \mathbf{B}_2 \dots \mathbf{B}_{n_0}], \quad \mathbf{C} = \begin{bmatrix} \mathbf{0}_{r_0+(R-1)m} \\ \mathbf{W}_m \end{bmatrix}, \quad (4.8)$$

where \mathbf{B}_j is an $(r_0 + Rm) \times q^m$ matrix as in (4.2), \mathbf{C} is an $(r_0 + Rm) \times \theta_{m,q}$ matrix, $\mathbf{0}_{r_0+(R-1)m}$ is the zero $(r_0 + (R-1)m) \times \theta_{m,q}$ matrix, \mathbf{W}_m is a parity check $m \times \theta_{m,q}$ matrix of the $[\theta_{m,q}, \theta_{m,q} - m, 3]_q 1$ Hamming code.

Theorem 6. *In Construction QM₂, the new code V with the parity check matrix (4.8), (4.2) is an $[n, n - (r_0 + Rm), 3]_q R, R$ surface-covering code with covering radius R and length $n = q^m n_0 + \frac{q^{m+1}-1}{q-1}$. Moreover, if the starting code V_0 is locally optimal, then the new code V is locally optimal too.*

Proof. The length of the code V directly follows from the construction.

The minimum distance is equal to 3 as the Hamming code is a code with $d = 3$.

We show that covering radius R_V of V is equal to R .

Consider an arbitrary column $\mathbf{t} = (\mathbf{f}\mathbf{s}) \in \mathbb{F}_q^{r_0+Rm}$ with $\mathbf{f} \in \mathbb{F}_q^{r_0}$, $\mathbf{s} \in \mathbb{F}_q^{Rm}$, $\mathbf{s} = (s_1, s_2, \dots, s_{Rm})$, $s_i \in \mathbb{F}_q$. We partition \mathbf{s} by m -vectors so that $\mathbf{s} = (S_0, S_1, \dots, S_{R-1})$, $S_v = (s_{vm+1}, s_{vm+2}, \dots, s_{vm+m})$, $v = 0, 1, \dots, R-1$. We treat S_v as an element of \mathbb{F}_{q^m} .

Since V_0 is an $[n_0, n_0 - r_0]_q R, \ell_0$ code with $\ell_0 = R - 1$, there exists a linear combination of $\varphi(\mathbf{f})$ distinct columns of \mathbf{H}_0 of the form

$$\mathbf{f} = \sum_{k=1}^{\varphi(\mathbf{f})} c_k \mathbf{h}_{j_k}, \quad c_k \in \mathbb{F}_q^* \text{ for all } k, \quad \varphi(\mathbf{f}) \in \{R-1, R\},$$

see Definition 4. If $\varphi(\mathbf{f}) = R$ we act similarly to the proof of Theorem 5.

Let $\varphi(\mathbf{f}) = R - 1$. We represent \mathbf{t} as a linear combination (with nonzero coefficients) of at most R distinct columns of \mathbf{H}_V . We have, see (4.2), (4.8),

$$\mathbf{t} = \eta \mathbf{c} + \sum_{k=1}^{R-1} c_k \mathbf{b}_{j_k}(x_k), \quad c_k \in \mathbb{F}_q^* \text{ and } x_k \in \mathbb{F}_{q^m} \text{ for all } k, \quad \eta \in \mathbb{F}_q, \quad (4.9)$$

where \mathbf{c} is a column of \mathbf{C} and $\eta = 0$ means that the summand $\eta \mathbf{c}$ is absent. Also, in (4.9), values of x_k are obtained from the linear system

$$\sum_{k=1}^{R-1} c_k \beta_{j_k}^v x_k = S_v, \quad v = 0, 1, \dots, R-2,$$

with nonzero determinant. Finally, in (4.9), $\mathbf{c} = (\mathbf{0}\mathbf{w})$ where $\mathbf{0}$ is the zero $(r_0 + (R-1)m)$ -positional column and \mathbf{w} is a column of \mathbf{W}_m that satisfies the equality

$$\eta \mathbf{w} + \sum_{k=1}^{R-1} c_k \beta_{j_k}^{R-1} x_k = S_{R-1}. \quad (4.10)$$

In (4.10), if $\sum_{k=1}^{R-1} c_k \beta_{j_k}^{R-1} x_k = S_{R-1}$ we have $\eta = 0$. If $\sum_{k=1}^{R-1} c_k \beta_{j_k}^{R-1} x_k \neq S_{R-1}$, the needed column $\eta \mathbf{w}$ always exists as the Hamming code has covering radius 1.

Now we show that V is an $[n, n - (r_0 + Rm), 3]_q R, R$ code, i.e. $\ell_V = R$. The critical case is when in (4.9) and (4.10) $\eta = 0$, i.e. the summand $\eta \mathbf{c}$ is absent. We use the approach of the proof of Theorem 5 regarding (4.3). In (4.3) we put $j = j_1, \xi_{u_1} = x_1, a = -c_1$ with j_1, x_1, c_1 taken from (4.9). Then

$$\begin{aligned} \mathbf{t} &= -c_1 \mathbf{b}_{j_1}(x_1) + \mathbf{b}_{j_1}(\xi_{u_2}) + c \mathbf{b}_{j_1}(\xi_{u_3}) + \sum_{k=1}^{R-1} c_k \mathbf{b}_{j_k}(x_k) = \sum_{k=2}^{R-1} c_k \mathbf{b}_{j_k}(x_k) \\ &+ \mathbf{b}_{j_1}(\xi_{u_2}) + c \mathbf{b}_{j_1}(\xi_{u_3}). \end{aligned}$$

Thus, we always can represent $\mathbf{t} \in \mathbb{F}_q^{r_0 + Rm}$ as a linear combination with nonzero coefficients of exactly R columns of \mathbf{H}_V .

By above, if we remove any column of \mathbf{H}_V , some representation of \mathbf{t} becomes impossible. So, all columns of \mathbf{H}_V are essential and the code V is locally optimal. \square

5 New infinite code families with fixed radius $R \geq 4$ and increasing codimension tR

In the ρ -saturating set of Construction S (3.1)–(3.5), we consider a point P_j (in homogeneous coordinates) as a column \mathbf{h}_j of the parity check matrix $\widehat{\mathbf{H}}_\rho$ that defines the $[qR + 1, qR + 1 - 2R, 3]_{qR, \ell}$ code \widehat{V}_ρ of covering radius $R = \rho + 1$. To use Constructions QM₁ and QM₂ we show that $\ell = R - 1$ if q is even, and $\ell = R$ if q is odd. This means that any column \mathbf{f} of \mathbb{F}_q^{2R} is equal to a linear combination with nonzero coefficients of $R - 1$ or R columns of $\widehat{\mathbf{H}}_\rho$ for even q and R columns of $\widehat{\mathbf{H}}_\rho$ for odd q .

We consider some properties of $\widehat{\mathbf{H}}_\rho$ useful to estimate ℓ . Let $\mathbf{f} \in \mathbb{F}_q^{2R}$. Let $J(\mathbf{f}) = \{\mathbf{h}_{j_1}, \dots, \mathbf{h}_{j_\beta}\}$ and $I_w = \{\mathbf{h}_{i_1}, \dots, \mathbf{h}_{i_w}\}$ be sets of distinct columns of $\widehat{\mathbf{H}}_\rho$ such that

$$\mathbf{f} = \sum_{k=1}^{\beta} c_k \mathbf{h}_{j_k}, \mathbf{h}_{j_k} \in J(\mathbf{f}) \text{ and } c_k \in \mathbb{F}_q^* \text{ for all } k; \quad (5.1)$$

$$\sum_{k=1}^w m_k \mathbf{h}_{i_k} = \mathbf{0}, \mathbf{h}_{i_k} \in I_w \text{ and } m_k \in \mathbb{F}_q^* \text{ for all } k, \mathbf{0} \in \mathbb{F}_q^{2R} \text{ is the zero column.} \quad (5.2)$$

By (5.1) and (5.2), we have

$$\mathbf{f} = \sum_{k=1}^{\beta} c_k \mathbf{h}_{j_k} + \mu \sum_{k=1}^w m_k \mathbf{h}_{i_k}, \mu \in \mathbb{F}_q^*. \quad (5.3)$$

Note that I_w is a set of columns corresponding to a *weight w codeword* of \widehat{V}_ρ .

In the representation (5.3), the number of distinct columns of $\widehat{\mathbf{H}}_\rho$, say β^{new} , depends on the intersection $I_w \cap J(\mathbf{f})$ and the values of nonzero coefficients c_k, m_k, μ , for example,

$$\beta^{\text{new}} = \begin{cases} \beta + w & \text{if } I_w \cap J(\mathbf{f}) = \emptyset; \\ \beta + w - 1 & \text{if } |I_w \cap J(\mathbf{f})| = 1, \mathbf{h}_{j_\beta} = \mathbf{h}_{i_w}, c_\beta + \mu m_w \neq 0; \\ \beta + w - 2 & \text{if } |I_w \cap J(\mathbf{f})| = 1, \mathbf{h}_{j_\beta} = \mathbf{h}_{i_w}, c_\beta + \mu m_w = 0; \\ \beta + w - 2 & \text{if } |I_w \cap J(\mathbf{f})| = 2, \mathbf{h}_{j_\beta} = \mathbf{h}_{i_w}, c_\beta + \mu m_w \neq 0, \\ & \mathbf{h}_{j_{\beta-1}} = \mathbf{h}_{i_{w-1}}, c_{\beta-1} + \mu m_{w-1} \neq 0. \end{cases} \quad (5.4)$$

To use (5.3), (5.4), submatrices of $\widehat{\mathbf{H}}_\rho$ can be treated as parity check matrices of codes; we call them *component codes* and write in Table 1, where $u = 1, \dots, \rho$, “MDS” notes a minimum distance separable code, “AMDS” says on an Almost MDS code.

Remark 2. The following is useful to estimate ℓ in the code \widehat{V}_ρ .

(i) In an $[n, n - r, d]_q$ MDS code, any d columns of a parity check matrix correspond to a weight d codeword [32].

(ii) In an $[n, n - r, d]_q$ MDS code with $n \leq q$, there are codewords of *all weights* $w \in \{d, d + 1, \dots, n\}$ [22, Th. 6].

Table 1: Components codes corresponding to submatrices of $\widehat{\mathbf{H}}_\rho$ based on (3.1)–(3.5)

rows of $\widehat{\mathbf{H}}_\rho$	columns of $\widehat{\mathbf{H}}_\rho$	geometrical object	code parameters	q	code name	code type
1,2	$\mathbf{h}_1 \dots \mathbf{h}_q$	$\{A_0^0\} \cup L_0^*$	$[q, q-2, 3]_q 2$	all	\mathbb{L}_0	MDS
$2u, 2u+1, 2u+2$	$\mathbf{h}_{qu+1} \dots \mathbf{h}_{qu+q-1}$	C_u^*	$[q-1, q-4, 4]_q 3$	all	\mathbb{C}_u	MDS
$2u, 2u+1, 2u+2$	$\mathbf{h}_{qu+1} \dots \mathbf{h}_{qu+q}$	$C_u^* \cup \{T_u\}$	$[q, q-3, 4]_q 3$	even	\mathbb{C}_u^T	MDS
$2u, 2u+1, 2u+2$	$\mathbf{h}_{qu+1} \dots \mathbf{h}_{qu+q}$	$C_u^* \cup \{T_u\}$	$[q, q-3, 3]_q 3$	odd	\mathbb{C}_u^T	AMDS
$2\rho, 2\rho+1, 2\rho+2$	$\mathbf{h}_{q\rho+1} \dots \mathbf{h}_{q\rho+q-1},$ $\mathbf{h}_{q\rho+q+1}$	$C_\rho^* \cup \{A_\rho^\infty\}$	$[q, q-3, 4]_q 3$	all	\mathbb{C}_ρ^∞	MDS
$2\rho, 2\rho+1, 2\rho+2$	$\mathbf{h}_{q\rho+1} \dots \mathbf{h}_{q\rho+q+1}$	$C_\rho^* \cup \{A_\rho^\infty, T_\rho\}$	$[q+1, q-2, 4]_q 3$	even	$\mathbb{C}_\rho^{\infty T}$	MDS
$2\rho, 2\rho+1, 2\rho+2$	$\mathbf{h}_{q\rho+1} \dots \mathbf{h}_{q\rho+q+1}$	$C_\rho^* \cup \{A_\rho^\infty, T_\rho\}$	$[q+1, q-2, 3]_q 3$	odd	$\mathbb{C}_\rho^{\infty T}$	AMDS

(iii) If q is odd, for AMDS component codes \mathbb{C}_u^T and $\mathbb{C}_\rho^{\infty T}$, we note that T_u lies on two tangents to C_u (in A_u^0 and A_u^∞) and on $\frac{q-1}{2}$ bisecants of C_u^* . Every of these bisecants gives rise to a weight 3 codeword. The $(q-1)$ -set of points of C_u^* is partitioned to $\frac{q-1}{2}$ point pairs; every pair together with T_u forms a weight 3 codeword.

(iv) From the proofs of Sect. 3 it can be seen that for the representation of a column $\mathbf{f} \in \mathbb{F}_q^{2R}$ it is sufficient to use (for every u) at most 3 points (columns) of C_u^* . Similarly, one can use 2 points of $\{A_0^0\} \cup L_0^*$. Therefore, we have in $\{A_0^0\} \cup L_0^*$ and in every C_u^* at least $q-4$ “free” points (columns) that are not used to represent \mathbf{f} ; these columns can be used to form sets I_w useful to increase β^{new} for \mathbf{f} by (5.3), (5.4).

(v) If $\beta < R$ in (5.1), then at least $R - \beta$ component codes are not used to represent \mathbf{f} ; the columns corresponding to these codes are “free” and can be used to form sets I_w .

(vi) If $q \geq 7$, always there exists μ providing conditions “= 0”, “ $\neq 0$ ” in (5.4).

Lemma 3. *Let $q \geq 7$. Let $R \geq 4$. Let \widehat{V}_ρ be the $[Rq+1, Rq+1-2R, 3]_q R, \ell$ locally optimal code such that the columns of its parity check matrix $\widehat{\mathbf{H}}_\rho$ correspond to points (in homogeneous coordinates) of the minimal ρ -saturating set of Construction S (3.1)–(3.5) with $\rho = R-1$. Then $\ell = R$ if q is odd and $\ell = R-1$ if q is even.*

Proof. We should show that every column \mathbf{f} of \mathbb{F}_q^{2R} (including the zero column) is equal to a linear combination with nonzero coefficients of $R-1$ or R columns of $\widehat{\mathbf{H}}_\rho$ for even q and R columns of $\widehat{\mathbf{H}}_\rho$ for odd q .

Let $I_w = \{\mathbf{h}_{i_1}, \dots, \mathbf{h}_{i_w}\}$ be a set of distinct columns of $\widehat{\mathbf{H}}_\rho$ corresponding to a weight w codeword of an MDS component code. Then there is a linear combination $L_w = \sum_{k=1}^w m_k \mathbf{h}_{i_k} = \mathbf{0}$, $m_k \in \mathbb{F}_q^*$, cf. (5.2). Let $w_1 + w_2 + \dots + w_b = T$. We denote $\Upsilon_T = L_{w_1} + L_{w_2} + \dots + L_{w_b} = \mathbf{0}$ the sum of the linear combinations.

Let a column $\mathbf{f} \in \mathbb{F}_q^{2R}$ have the representation (5.1) of the form $\mathbf{f} = \sum_{k=1}^\beta c_k \mathbf{h}_{j_k}$ where $\mathbf{h}_{j_k} \in J(\mathbf{f})$ and $\beta \leq R$. If $\beta = R$, the assertions of the lemma hold.

Let $0 \leq \beta \leq R - 3$ where $\beta = 0$ corresponds to the zero column. We represent the column as $\mathbf{f} = \sum_{k=1}^{\beta} c_k \mathbf{h}_{jk} + \Upsilon_{R-\beta}$ where the linear combinations L_{w_j} of $\Upsilon_{R-\beta}$ consist of “free” columns that are not used in the set $J(\mathbf{f})$. We have several “free” columns, see Remark 2(iv),(v). The component code \mathbb{L}_0 has $d = 3$. Therefore, taking into account also Remark 2(i),(ii), the sum $\Upsilon_{R-\beta}$ with $3 \leq R - \beta \leq R$ always can be found.

Let $\beta \in \{R - 2, R - 1\}$. The increase of β by $w - 1$, $w - 2$ is possible if some columns of $J(\mathbf{f})$ and I_w correspond to the same component code and $|I_w \cap J(\mathbf{f})| \in \{1, 2\}$, see (5.3), (5.4). Let d be minimum distance of a component code. Due to Remark 2(i),(iii),(iv), one always can take in (5.2) a set I_w with $w = d \in \{3, 4\}$ so that $|I_w \cap J(\mathbf{f})| \in \{1, 2\}$. This provides the cases with $w = d = 3$, $w - 1 = 2$, $\beta^{\text{new}} = \beta + 2$, and $w = d = 4$, $w - 2 = 2$, $\beta^{\text{new}} = \beta + 2$.

So, for even and odd q , if $\beta = R - 2$, we can obtain $\beta^{\text{new}} = R$.

Let $\beta = R - 1$. The case with $w = 3$, $w - 2 = 1$, $\beta^{\text{new}} = \beta + 1$, can be provided if some column or a column pair of $J(\mathbf{f})$ and I_w correspond to the same code \mathbb{L}_0 (for all q) or to the same code $\mathbb{C}_u^T, \mathbb{C}_\rho^{\infty T}$ (for q odd) since these codes have $d = 3$. There exist columns $\mathbf{f} \in \mathbb{F}_q^{2R}$ such that \mathbb{L}_0 is not used for their representation. Therefore we should consider only codes $\mathbb{C}_u^T, \mathbb{C}_\rho^{\infty T}$. For q odd we always can obtain $\beta^{\text{new}} = R$ using $\mathbb{C}_u^T, \mathbb{C}_\rho^{\infty T}$ with $d = 3$, see Remark 2(iii). But in general, for even q (where MDS codes $\mathbb{C}_u^T, \mathbb{C}_\rho^{\infty T}$ have $d = 4$) we are not able to do $\beta^{\text{new}} = R$ when $\beta = R - 1$, see (5.3), (5.4). \square

In Theorems 7 and 8 we consider $R \geq 4$ since for $R = 2, 3$, several short covering codes with $r = tR$ are given in detail in [11–16, 18–20].

Theorem 7. *Let $q \geq 7$ be odd. Let t be an integer. Then for all $R \geq 4$ there is an infinite family of $[n, n - r, 3]_{qR, R}$ locally optimal surface-covering codes with the parameters*

$$n = Rq^{(r-R)/R} + q^{(r-2R)/R}, \quad r = tR, \quad t = 2 \text{ and } t \geq \lceil \log_q R \rceil + 3.$$

Proof. We take the $[Rq + 1, Rq + 1 - 2R, 3]_{qR, R}$ code \widehat{V}_ρ , see Lemma 3, as the starting code V_0 of Construction QM₁. By Theorem 5, we obtain an $[n, n - r, 3]_{qR, R}$ code with $n = (qR + 1)q^m$, $r = 2R + mR$. Obviously, $m + 1 = \frac{r-R}{R}$. The condition $q^m \geq n_0 - 1$ implies $q^m \geq qR$ whence $m \geq \lceil \log_q R \rceil + 1$. Finally, we put $t = m + 2$. \square

Theorem 8. *Let $q \geq 8$ be even. Let t be an integer. Let $m_1 = \lceil \log_q(R + 1) \rceil + 1$. Then for all $R \geq 4$ there are infinite families of $[n, n - r, 3]_{qR, R}$ locally optimal surface-covering codes with the parameters*

$$\begin{aligned} \text{(i)} \quad n &= Rq^{(r-R)/R} + 2q^{(r-2R)/R} + \sum_{j=3}^t q^{(r-jR)/R}, \quad r = tR, \quad m_1 + 2 < t < 3m_1 + 2; \\ \text{(ii)} \quad n &= Rq^{(r-R)/R} + 2q^{(r-2R)/R} + \sum_{j=3}^{m_1+2} q^{(r-jR)/R}, \quad r = tR, \quad t = m_1 + 2 \text{ and } t \geq 3m_1 + 2. \end{aligned}$$

Proof. (i) We take the $[qR+1, qR+1-2R, 3]_{qR, \ell}$ code \widehat{V}_ρ with $\ell = R-1$, see Lemma 3, as the starting code V_0 of Construction QM₂. By Theorem 6, we obtain an $[n, n-r, 3]_{q, R, R}$ code with $n = (qR+1)q^m + \frac{q^{m+1}-1}{q-1}$, $r = 2R + mR$. Obviously, $m - (j-2) = \frac{r-jR}{R}$. The condition $q^m \geq n_0$ implies $q^m \geq qR+1$ whence $m \geq \lceil \log_q(qR+1) \rceil = \lceil \log_q(R+1) \rceil + 1$. The restriction $m < 3m_1$ is introduced as for $m \geq 3m_1$ we have codes of (ii) that are better than ones in (i). For $m = m_1$, codes of (i) and (ii) are the same. Finally, we put $t = m + 2$.

(ii) In the relation (i), we put $t = m_1 + 2$ and obtain an $[n_1, n_1 - r_1, 3]_{qR, R}$ code with $n_1 = (qR+1)q^{m_1} + \frac{q^{m_1+1}-1}{q-1}$, $r_1 = 2R + m_1R$. We take this code as the starting code V_0 of Construction QM₁. By Theorem 5, we obtain an $[n, n-r, 3]_{q, R, R}$ code with $r = 2R + m_1R + m_2R$, $q^{m_2} \geq n_1$, $n = n_1q^{m_2} = (qR+1)q^{m_1+m_2} + \sum_{i=0}^{m_1} q^{m_1+m_2-i}$. Obviously, $m_1 + m_2 - i = \frac{r-(i+2)R}{R}$. Since $(R+1)q^{m_1+1} > n_1$, the condition $q^{m_2} \geq n_1$ is satisfied when $q^{m_2} \geq (R+1)q^{m_1+1}$ whence $m_2 \geq \lceil \log_q(R+1) \rceil + m_1 + 1 = 2m_1$. Then we denote $2 + m_1 + m_2$ by t . \square

6 New infinite code families with fixed even radius $R \geq 2$ and increasing codimension $tR + \frac{R}{2}$

In the projective plane $\text{PG}(2, q)$, a *blocking* (resp. *double blocking*) set S is a set of points such that every line of $\text{PG}(2, q)$ contains at least one (resp. two) points of S .

There is an useful connection between double blocking sets and 1-saturating sets.

Proposition 7. [16, Cor. 3.3], [28] *Let q be a square. Any double blocking set in the subplane $\text{PG}(2, \sqrt{q}) \subset \text{PG}(2, q)$ is a 1-saturating set in the plane $\text{PG}(2, q)$.*

In the following we shall use these results:

Proposition 8. [1, 3, 4, 16] *Let p be prime. Let $\phi(q)$ be as in (2.1). The following bounds on the smallest size $\tau_2(2, q)$ of a double blocking set in $\text{PG}(2, q)$ hold:*

$$\tau_2(2, q) \leq 2(q + q^{2/3} + q^{1/3} + 1), \quad q = p^3, \quad p \leq 73 \quad [16, \text{Th. 3.5}];$$

$$\tau_2(2, q) \leq 2(q + q^{2/3} + q^{1/3} + 1), \quad q = p^{3h}, \quad p^h \equiv 2 \pmod{7} \quad [4, \text{Th. 5.5}];$$

$$\tau_2(2, q) \leq 2 \left(q + \frac{q-1}{\phi(q)-1} \right), \quad q = p^h, \quad h \geq 2, \quad p \geq 3 \quad [1, \text{Cor. 1.9}];$$

$$\tau_2(2, q) \leq 2 \left(q + \frac{q}{p} + 1 \right), \quad q = p^h, \quad h \geq 2, \quad p \geq 7 \quad [3, \text{Th. 1.8, Cor. 4.10}].$$

Now we give a list of 1-saturating sets in the projective plane of square order. The sets (iv)–(vi) are new, they directly follow from Propositions 7 and 8.

Proposition 9. *Let q be a square. Let p be prime. Let $\phi(\sqrt{q})$ be as in (2.1). Then in $\text{PG}(2, q)$ there are 1-saturating sets of the following sizes:*

$$(i) \quad 3\sqrt{q} - 1, \quad q = p^{2h} \geq 4, \quad h \geq 1 \quad [12, \text{Th. 5.2}];$$

- (ii) $2\sqrt{q} + 2\sqrt[4]{q} + 2$, $q = p^{4h} \geq 16, h \geq 1$ [15, Th. 3.3], [16, Th. 3.4], [28];
- (iii) $2\sqrt{q} + 2\sqrt[3]{q} + 2\sqrt[6]{q} + 2$, $q = p^6, p \leq 73$ [15, Th. 3.4], [16, Cor. 3.6];
- (iv) $2\sqrt{q} + 2\sqrt[3]{q} + 2\sqrt[6]{q} + 2$, $q = p^{6h}, p^h \equiv 2 \pmod{7}$;
- (v) $2\sqrt{q} + 2\frac{\sqrt{q}-1}{\phi(\sqrt{q})-1}$, $q = p^{2h}, h \geq 2, p \geq 3$;
- (vi) $2\sqrt{q} + 2\frac{\sqrt{q}}{p} + 2$, $q = p^{2h}, h \geq 2, p \geq 7$.

Remark 3. In Proposition 9, if $\sqrt{q} = p^\eta$ with $\eta \geq 3$ odd, then *the new 1-saturating sets of (iv)–(vi) have smaller sizes than the known ones of (i)–(iii)*. For example, if $q = p^6, \eta = 3$, then the new size of (vi) is $2\sqrt{q} + 2\sqrt[3]{q} + 2$, cf. (iii). If $\eta \geq 5$ odd, the known sets have size $3\sqrt{q} - 1$ whereas new sizes are $2\sqrt{q} + o(\sqrt{q})$. For example, if $q = p^{30}, \eta = 15$, then the new size of (iv), (v) is $2\sqrt{q} + 2\sqrt[3]{q} + 2\sqrt[6]{q} + 2$, cf. (i). In general, if $\eta \geq 3$ is prime, then the case (vi) gives smaller sizes than other variants. If η is odd non-prime, then the variant (v) is the best.

The case (iv) gives the same size as (v), if $3|\eta$. Therefore, in future we consider new codes and bounds resulting from Proposition 9(v),(vi).

Note also that if $q = p^2$, i.e. $\eta = 1$, then the size (i) is the smallest in Proposition 9. It is why we pay attention to this case, see Remarks 4–6 and Problem 5 below.

Remark 4. Let a point of $\text{PG}(2, q)$ have the form (x_0, x_1, x_2) where $x_i \in \mathbb{F}_q$, the leftmost nonzero coordinate is equal to 1. Let β be a primitive element of \mathbb{F}_q .

In [12, Th. 5.2, eq. (30)], the following construction of a 1-saturating $(3\sqrt{q} - 1)$ -set S in $\text{PG}(2, q)$, q square, is proposed:

$$S = \{(1, 0, x_2) | x_2 \in \mathbb{F}_{\sqrt{q}}\} \cup \{(1, 0, c\beta) | c \in \mathbb{F}_{\sqrt{q}}^*\} \cup \{(0, 1, x_2) | x_2 \in \mathbb{F}_{\sqrt{q}}\}. \quad (6.1)$$

We describe this construction in more detail than in [12] using, for the description, the Baer sublines similarly to [5, Prop. 3.2]. In [12], see (6.1), specific Baer sublines are noted. Here we explain the structure and role of these specific sublines. Two Baer subplanes \mathcal{B}_1 and \mathcal{B}_2 are considered. In the points of \mathcal{B}_1 , all coordinates $x_i \in \mathbb{F}_{\sqrt{q}}$. Also, $\mathcal{B}_2 = \mathcal{B}_1\Phi$ where Φ is the collineation such that $(x_0, x_1, x_2)\Phi = (x_0, x_1\beta, x_2\beta)$. Let $L_i \subset \text{PG}(2, q)$ be the “long” line of equation $x_i = 0$. Let $L_{i,j} = L_i \cap \mathcal{B}_j$ be the Baer subline of L_i in the Baer subplane \mathcal{B}_j . We denote points $A_1 = (0, 0, 1), A_2 = (1, 0, 0)$. Obviously, $\{A_1, A_2\} \subset \mathcal{B}_1 \cap \mathcal{B}_2$.

We have $L_{0,1} = L_{0,2}, \mathcal{B}_1 \cap \mathcal{B}_2 = L_{0,1} \cup \{A_2\}$. Thus, the Baer subplanes \mathcal{B}_1 and \mathcal{B}_2 have the common Baer subline $L_{0,1}$ and also the common point A_2 not on $L_{0,1}$. Also, $L_{0,1} \cap L_{1,1} \cap L_{1,2} = \{A_1\}$. So, we consider three Baer sublines through A_1 ; one of them $L_{0,1}$ is common for \mathcal{B}_1 and \mathcal{B}_2 ; the other two ($L_{1,1}$ and $L_{1,2}$) belong to the same long line L_1 that passes through $A_2 \notin L_{0,1}$ and $A_1 \in L_{0,1}$. The needed set consists of these three Baer sublines without their intersection point, i.e. $S = (L_{0,1} \cup L_{1,1} \cup L_{1,2}) \setminus \{A_1\}$. Since $L_{1,1} \cap L_{1,2} = \{A_1, A_2\}$ it holds that $|S| = 3\sqrt{q} - 1$. Note that if A_1 is not removed from S then we have no bisecants of S through A_1 .

All points on L_0 and L_1 are 1-covered by S . Consider a point $A = (1, a, b) \notin (L_0 \cup L_1)$ with $a = a_1\beta + a_0 \in \mathbb{F}_q^*$, $b = b_1\beta + b_0 \in \mathbb{F}_q$. (If $a = 0$ then $A \in L_1$.) Let $a_0 \neq 0$. Then $A = (1, 0, (b_1 - a_1a_0^{-1}b_0)\beta) + a(0, 1, a_0^{-1}b_0)$. Let $a_0 = 0$. Then $a_1 \neq 0$ and $A = (1, 0, b_0) + a(0, 1, a_1^{-1}b_1)$. Thus, A is 1-covered by S . Also, from the above consideration it follows that all points of S are 1-essential and S is a *minimal* 1-saturating set.

Remark 5. In [33, Ex. B] and [5, Prop. 3.2], constructions of a 1-saturating $3\sqrt{q}$ -set in $\text{PG}(2, q)$, q square, are proposed. In [33], the set is minimal; it consists of three non-concurrent Baer sublines in a Baer subplane. In [5], the set is non-minimal; it is similar to one of the construction [12, Th. 5.2], see its description in Remark 4. However, in [5], the intersection point of the three Baer sublines is not removed from the 1-saturating set.

Remark 6. Let p be prime. To construct a 1-saturating $(3p - 1)$ -set in $\text{PG}(2, p^2)$ one can apply Proposition 7 to a double blocking set in $\text{PG}(2, p)$. However, double blocking $(3p - 1)$ -sets in $\text{PG}(2, p)$ are known only for $q = 13, 19, 31, 37, 43$, see [9]. Moreover, in $\text{PG}(2, p)$, no double blocking sets of size less than $3p - 1$ are known.

In $\text{PG}(2, p^2)$, p prime, by [16, Tab. 2], we have the following sporadic examples of 1-saturating k -sets with $k < 3p - 1$: $p^2 = 9, k = 6$; $p^2 = 25, k = 12$; $p^2 = 49, k = 18$.

Problem 5. *Develop a general construction of a 1-saturating k -set in $\text{PG}(2, p^2)$, p prime, such that $k < 3p - 1$.*

In [13, 16], a lift-construction is given. It provides the following result.

Proposition 10. [13, Ex. 6], [16, Th. 4.4] *Let an $[n_q, n_q - 3]_q 2$ code exist. Let $n_q < q$ and $q + 1 \geq 2n_q$. Let $f_q(r, 2)$ be as in (2.2). Then there is an infinite family of $[n, n - r]_q 2$ codes with odd codimension $r = 2t + 1 \geq 3$, $t \geq 1$, and length $n = n_q q^{(r-3)/2} + 2q^{(r-5)/2} + f_q(r, 2)$.*

Theorem 9. *Assume that p is prime, $q = p^{2h}$, $h \geq 2$, and covering radius $R = 2$. Let $\phi(\sqrt{q})$ and $f_q(r, 2)$ be as in (2.1), (2.2). Then there exist infinite families of $[n, n - r]_q 2$ codes with odd codimension $r = 2t + 1 \geq 3$, $t \geq 1$, and length*

$$n = \left(2 + 2 \frac{\sqrt{q} - 1}{\sqrt{q}(\phi(\sqrt{q}) - 1)} \right) q^{(r-2)/2} + 2 \lfloor q^{(r-5)/2} \rfloor + f_q(r, 2), \quad p \geq 3;$$

$$n = \left(2 + \frac{2}{p} + \frac{2}{\sqrt{q}} \right) q^{(r-2)/2} + 2 \lfloor q^{(r-5)/2} \rfloor + f_q(r, 2), \quad p \geq 7.$$

Proof. Let n_q be the size of the 1-saturating sets of Proposition 9(iii),(iv). We treat every point (in homogeneous coordinates) of the set as a column of an $3 \times n_q$ parity check matrix of an $[n_q, n_q - 3]_q 2$ code. For these codes it can be shown that $n_q < q$ and $q + 1 \geq 2n_q$. Then we use Proposition 10. \square

The direct sum construction [16, Sect. 4.2] gives the following lemma.

Lemma 4. *Let covering radius $R \geq 2$ be even. Let an $[n'', n'' - r'']_q 2$ code exist. Then there is an $[\frac{R}{2}n'', \frac{R}{2}n'' - \frac{R}{2}r'']_q R$ code.*

Theorem 10. *Assume that p is prime, $q = p^{2h}$, $h \geq 2$, $R \geq 2$ even, and code codimension is $r = tR + \frac{R}{2}$ with integer $t \geq 1$. Let $\phi(\sqrt{q})$ and $f_q(r, R)$ be as in (2.1), (2.2). Then for all even $R \geq 2$ there are infinite families of $[n, n - r]_q R$ codes with fixed covering radius R , codimension $r = tR + \frac{R}{2}$, $t \geq 1$, and length*

$$n = R \left(1 + \frac{\sqrt{q} - 1}{\sqrt{q}(\phi(\sqrt{q}) - 1)} \right) q^{(r-R)/R} + R \left\lfloor q^{(r-2R)/R-0.5} \right\rfloor + \frac{R}{2} f_q(r, R), \quad p \geq 3;$$

$$n = R \left(1 + \frac{1}{p} + \frac{1}{\sqrt{q}} \right) q^{(r-R)/R} + R \left\lfloor q^{(r-2R)/R-0.5} \right\rfloor + \frac{R}{2} f_q(r, R), \quad p \geq 7.$$

Proof. We take codes of Theorem 9 as the codes $[n'', n'' - r'']_q 2$ of Lemma 4. □

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